

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO-1449</b>	DOCKET NO. 12170/6	SERIAL NO. 09/809,025
	APPLICANT Jeffrey LANGE	
	FILING DATE March 16, 2001	GROUP 2812

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
HTD	5,275,400	January 4, 1994	Weingardt et al.			
↓	6,134,536	October 17, 2000	Shepherd			HTD
	6,321,212	November 20, 2001	Lange			2/27/07

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	01/08063	February 1, 2001	WO			X	

**OTHER DOCUMENTS**

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
HTD	Garahi et al., U.S. Patent Publication No. 2001/0047291, published November 29, 2001.
HTD	Burton, U.S. Patent Publication No. 2002/0052819, published May 2, 2002.
HTD	Christian S. Pedersen, "Derivatives and downside risk", Derivatives Use, Trading & Regulation, volume 7, issue 3, London, pp. 251-268, 2001.

**RECEIVED**

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**GROUP 3600**

EXAMINER <i>Harish Das</i>	DATE CONSIDERED <i>Aug 29, 05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

*oct 3, 02*